QFT	phase estimation	order finding and factoring	general application
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Shor's algorithm

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QFT	
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Outline

1 QFT

- quantum Fourier transform
- product representation
- efficient circuit
- complexity
- 2 phase estimation
 - phase estimation
 - \bullet three stages
 - intuition
 - performance and requirements
 - procedure
- **3** order finding and factoring
 - order finding
 - \bullet factoring





phase	estimation
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QFT

general applications

quantum Fourier transform

discrete Fourier transformation

input: a vector of complex numbers x_0, \dots, x_{N-1} , for fixed N; **output**: a vector of complex numbers y_0, \dots, y_{N-1} , defined by

$$y_k \equiv \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j e^{2\pi i j k/N}.$$



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QFT

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order finding and factoring 00000000

general applications

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quantum Fourier transformation (QFT)

input: $|j\rangle$; output:

$$\frac{1}{\sqrt{N}}\sum_{k=0}^{N-1}e^{2\pi i j k/N}|k\rangle.$$



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Equivalently, the action on an arbitrary state may be written

$$\sum_{j=0}^{N-1} x_j |j\rangle \to \sum_{k=0}^{N-1} y_k |k\rangle,$$

where the amplitudes y_k are the discrete Fourier transform of the amplitudes x_j .



QFT	phase estimation	order finding and factoring	general applications
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Q1: QFT is unitary?



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phase estimation 0000000 order finding and factoring 00000000

general applications 00

product representation

For *n*-qubit quantum system, we have $N = 2^n$, and the basis $|0\rangle, |1\rangle, \cdots, |2^n - 1\rangle$ is the computational basis.

•
$$j = j_1 j_2 \cdots j_n$$
, i.e., $j = j_1 2^{n-1} + j_2 2^{n-2} + \cdots + j_n 2^0$.

•
$$0.j_l j_{l+1} \cdots j_m = j_l/2 + j_{l+1}/4 + \cdots + j_m/2^{m-l+1}$$



QFT 000000

order finding and factoring

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•
$$0.j_l j_{l+1} \cdots j_m = j_l/2 + j_{l+1}/4 + \cdots + j_m/2^{m-l+1}$$

$$\begin{split} |j\rangle &\to \frac{1}{2^{n/2}} \sum_{k=0}^{2^n - 1} e^{2\pi i j k/2^n} |k\rangle \\ &= \frac{1}{2^{n/2}} \sum_{k_1=0}^1 \dots \sum_{k_n=0}^1 e^{2\pi i j \left(\sum_{l=1}^n k_l 2^{-l}\right)} |k_1 \dots k_n\rangle \\ &= \frac{1}{2^{n/2}} \sum_{k_1=0}^1 \dots \sum_{k_n=0}^1 \bigotimes_{l=1}^n e^{2\pi i j k_l 2^{-l}} |k_l\rangle \\ &= \frac{1}{2^{n/2}} \bigotimes_{l=1}^n \left[\sum_{k_l=0}^1 e^{2\pi i j k_l 2^{-l}} |k_l\rangle \right] \\ &= \frac{1}{2^{n/2}} \bigotimes_{l=1}^n \left[|0\rangle + 2^{2\pi i j 2^{-l}} |1\rangle \right] \\ &= \frac{\left(|0\rangle + e^{2\pi i 0.j_n} |1\rangle\right) \left(|0\rangle + e^{2\pi i 0.j_{n-1}j_n} |1\rangle\right) \dots \left(|0\rangle + e^{2\pi i 0.j_1 j_2 \dots j_n} |1\rangle\right)}{2^{n/2}} \end{split}$$



QFT	phase	estimation
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order finding and factoring 00000000

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efficient circuit

With
$$R_k \equiv \begin{bmatrix} 1 & 0 \\ 0 & e^{2\pi i/2^k} \end{bmatrix}$$
, we can derive the circuit for QFT just as follows.





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classical Fourier transformation: $O(N^2)$; fast Fourier transform: $O(N \log N)$.



FT	phase estimation	order finding and factoring	general applications
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classical Fourier transformation: $O(N^2)$; fast Fourier transform: $O(N \log N)$.



 $O(n^2)$



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general applications 00

Eg. Explicit circuit for 3-qubit QFT.





QFT

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general applications

Eg. Explicit circuit for 3-qubit QFT.



Q2: How to obtain this matrix?



general applications

phase estimation

Suppose a unitary operator U has an eigenvector $|u\rangle$ with eigenvalue $e^{2\pi i\varphi}$, where the value of φ is unknown.

Goal: to estimate φ **black boxes** (oracles): preparing the state $|u\rangle$, performing the controlled- $U^{2^{j}}$ operation, for non-negative integers j.

classical???



QFT 000000	phase estimation 000000	order finding and factoring 00000000	general applications
the first s	stage		

- The first register contains t qubits initially in the state |0>.
 [acurracy & probability]
- The second register begins in the state $|u\rangle$, and contains qubits which can store $|u\rangle$.



QFT 000000	phase estimation 000000	order finding and factoring	general applications 00
the first s	tage		

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order finding and factoring 00000000

general applications

the second and third stage

- The second stage: inverse QFT
- The third stage: measure the first register in the computational basis





the second and third stage

- The second stage: inverse QFT
- The third stage: measure the first register in the computational basis

The schematic of the overall phase estimation is as follows.





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intuition			

Suppose φ may be expressed exactly in t bits, as $\varphi = 0.\varphi_1 \cdots \varphi_t$.

• The state resulting from the first stage may by rewritten

$$\frac{1}{2^{t/2}} \Big(|0\rangle + e^{2\pi i 0.\varphi_t} |1\rangle \Big) \cdots \Big(|0\rangle + e^{2\pi i 0.\varphi_1 \varphi_2 \cdots \varphi_t} |1\rangle \Big).$$

The second stage is to apply the inverse QFT (heart), then the output state is the product state

$$\frac{1}{2^{t/2}}\sum_{j=0}^{2^t-1}e^{2\pi i\varphi j}|j\rangle|u\rangle \rightarrow |\varphi\rangle|u\rangle.$$

Thus a measurement in the computational basis gives us φ exactly.



performance and requirements

The above intuition based on the fact that φ can be written exactly in t bits. What happens when this is not the case?

- Let $b \in [0, 2^t 1]$, and $b/2^t = 0.b_1 \cdots b_t$ is the best *t*-bit approximation less than φ . (eg. the first *t* bits of φ)
- The difference $\delta \equiv \varphi b/2^t$, and $0 \le \delta \le 2^{-t}$.
- Aim: produce a result which is close to b, thus to estimate φ accurately with high probability.



performance and requirements

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- Aim: produce a result which is close to b, thus to estimate φ accurately with high probability.



$$\begin{split} |\tilde{\varphi}\rangle &= \frac{1}{2^{t}} \sum_{j,k=0}^{2^{t}-1} e^{\frac{-2\pi i j k}{2^{t}}} e^{2\pi i j \varphi} |k\rangle \quad = \frac{1}{2^{t}} \sum_{j,k=0}^{2^{t}-1} e^{\frac{2\pi i j (2^{t} \varphi - k)}{2^{t}}} |k\rangle \\ &= \frac{1}{2^{t}} \sum_{k=0}^{2^{t}-1} \frac{1 - e^{2\pi i \delta}}{1 - e^{\frac{2\pi i \delta}{2^{t}}}} |k\rangle \quad (2^{t} \delta := 2^{t} \varphi - k) \end{split}$$

• If the result is m, then $p(|m-b| > e) \le \frac{1}{2(e-1)}$, where e is an integer satisfying

$$\frac{m}{2^t} - \frac{b}{2^t} < \frac{1}{2^n} \longrightarrow e = 2^{t-n} - 1.$$



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• Thus to obtain φ accurate to *n*-bits with succ. prob. at least $1 - \epsilon$, we choose

$$t = n + \lceil \log(2 + \frac{1}{2\epsilon}) \rceil.$$



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Q3: How to deal with the case of $|\psi\rangle = \sum_{u} c_{u} |u\rangle$? (Ex. (Ex. (Ex. (Ex.))))



QFT 000000	$\begin{array}{c} \text{phase estimation} \\ \circ \circ \circ \circ \circ \circ \bullet \end{array}$	order finding and factoring 00000000	general applications
procedure	9		

Quantum phase estimation can be summarized below.



QFT	phase estimation	order finding and factoring	general applications
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procedure

Quantum phase estimation can be summarized below.

Inputs: (1) A black box wich performs a controlled- U^j operation, for integer j, (2) an eigenstate $|u\rangle$ of U with eigenvalue $e^{2\pi i\varphi_u}$, and (3) $t = n + \lceil \log \left(2 + \frac{1}{2\epsilon}\right) \rceil$ qubits initialized to $|0\rangle$.

Outputs: An *n*-bit approximation $\widetilde{\varphi_u}$ to φ_u .

Runtime: $O(t^2)$ operations and one call to controlled- U^j black box. Succeeds with probability at least $1 - \epsilon$.

Procedure:

1.	0 angle u angle
2.	$ ightarrow rac{1}{\sqrt{2^t}}\sum_{j=0}^{2^t-1} j angle u angle$
3.	$ ightarrow rac{1}{\sqrt{2^t}}\sum_{j=0}^{2^t-1} j angle U^j u angle$
	$=\frac{1}{\sqrt{2^t}}\sum_{j=0}^{2^t-1}e^{2\pi i j\varphi_u} j\rangle u\rangle$
4.	$ ightarrow \widetilde{arphi_u} angle u angle$
5.	$\rightarrow \widetilde{\varphi_u}$

initial state

create superposition

apply black box

result of black box

apply inverse Fourier transform

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measure first register

The fast quantum algorithms for these two problems are interesting for three reasons.

- providing evidence for the idea that "quantum computers may be inherently more powerful than classical ones"
- intrinsic worth to justify interest in any novel algorithm
- practical standpoint: to break the RSA public-key cryptosystem.



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- providing evidence for the idea that "quantum computers may be inherently more powerful than classical ones"
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These two problems are in fact equivalent to one another.

- explaining a quantum algorithm for solving the order-finding problem;
- explaining how the order-finding problem implies the ability to factor.





• **Def:** For positive integers x and N, and x < N with no common factors. The order of x modulo N is defined to be the least positive integer, r, such that $x^r = 1 \pmod{N}$.





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- Goal: to determine the order for some specified x and N.



QFT	phase estimation	order finding and factoring	general applications
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order fi	nding		

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- Goal: to determine the order for some specified x and N.
- Hardness: No classical algorithm is known to solve it using polynomial in the O(L) bits, where $L \equiv \lceil log(N) \rceil$.



QFT 000000	phase estimation 0000000	order finding and factoring $\bullet \bullet \bullet$	general applications
order find	ling		

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- Goal: to determine the order for some specified x and N.
- Hardness: No classical algorithm is known to solve it using polynomial in the O(L) bits, where $L \equiv \lceil log(N) \rceil$.
- The quantum algorithm for order-finding is just the phase estimation applied to the unitary operator

$$U|y\rangle \equiv |xy \operatorname{mod} N\rangle,$$

with $y \in \{0, 1\}^L$ and $0 \le y \le N-1$ for the action of mod N.



phase estima 0000000 order finding and factoring 00000000

general applications

reduction to phase estimation

• Define states $|u_s\rangle, 0 \le s \le r-1$ as follows, i.e.,

$$|u_s\rangle \equiv \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} exp\left[\frac{-2\pi i sk}{r}\right] |x^k \mod N\rangle.$$

• Then they are eigenstates of U, since

$$U|u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} exp\left[\frac{-2\pi i sk}{r}\right] |x^{k+1} \mod N\rangle = exp\left[\frac{-2\pi i s}{r}\right] |u_s\rangle.$$



QFT	
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order finding and factoring 00000000

general applications

procedure

Inputs: (1) A black box $U_{x,N}$ which performs the transformation $|j\rangle|k\rangle \rightarrow |j\rangle|x^{j}k \mod N\rangle$, for x co-prime to the *L*-bit number N, (2) $t = 2L + 1 + \lceil \log \left(2 + \frac{1}{2\epsilon}\right) \rceil$ qubits initialized to $|0\rangle$, and (3) L qubits initialized to the state $|1\rangle$.

Outputs: The least integer r > 0 such that $x^r \equiv 1 \pmod{N}$.

Runtime: $O(L^3)$ operations. Succeeds with probability O(1).

Procedure:

$$\begin{array}{lll} \mathbf{1.} & |0\rangle|1\rangle & \text{initial state} \\ \mathbf{2.} & \rightarrow \frac{1}{\sqrt{2^t}} \sum_{j=0}^{2^t-1} |j\rangle|1\rangle & \text{create superposition} \\ \mathbf{3.} & \rightarrow \frac{1}{\sqrt{2^t}} \sum_{j=0}^{2^t-1} |j\rangle|x^j \mod N\rangle & \text{apply } U_{x,N} \\ & & \approx \frac{1}{\sqrt{r2^t}} \sum_{s=0}^{r-1} \sum_{j=0}^{2^{t-1}} e^{2\pi i s j/r} |j\rangle|u_s\rangle \\ \mathbf{4.} & \rightarrow \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |\widetilde{s/r}\rangle|u_s\rangle & \text{apply inverse Fourier transform to first register} \\ \mathbf{5.} & \rightarrow \widetilde{s/r} & \text{measure first register} \\ \mathbf{6.} & \rightarrow r & \text{apply continued fractions} \\ \end{array}$$

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 $\bullet\,$ Given a positive composite integer N, what prime numbers when multiplied together equal it?



QFT	
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factoring

- Given a positive composite integer N, what prime numbers when multiplied together equal it?
- reduction of factoring to order-finding



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factoring

- Given a positive composite integer N, what prime numbers when multiplied together equal it?
- reduction of factoring to order-finding
- simple example of factoring 15



QFT	
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reduction

Inputs: A composite number N

Outputs: A non-trivial factor of N.

Runtime: $O((\log N)^3)$ operations. Succeeds with probability O(1).

Procedure:

- 1. If N is even, return the factor 2.
- 2. Determine whether $N = a^b$ for integers $a \ge 1$ and $b \ge 2$, and if so return the factor a (uses the classical algorithm of Exercise 5.17).
- 3. Randomly choose x in the range 1 to N-1. If gcd(x, N) > 1 then return the factor gcd(x, N).
- 4. Use the order-finding subroutine to find the order r of x modulo N.
- 5. If r is even and $x^{r/2} \neq -1 \pmod{N}$ then compute $gcd(x^{r/2} 1, N)$ and $gcd(x^{r/2} + 1, N)$, and test to see if one of these is a non-trivial factor, returning that factor if so. Otherwise, the algorithm fails.



QFT	phase estimation	order finding and factoring 00000000	general applications
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Eg. fac	toring 15		

1. Choose a random number x = 7.



QFT	phase estimation	order finding and factoring 00000000	general applications
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Eg. fac	toring 15		

- 1. Choose a random number x = 7.
- 2. Compute the order r satisfying $x^r = 1 \mod N$



QFT	phase estimation	order finding and factoring	general applications
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Eg. fact	toring 15		

- 1. Choose a random number x = 7.
- 2. Compute the order r satisfying $x^r = 1 \mod N$
 - 2.1 begin with the state $|0_t\rangle|0_4\rangle$



)FT	phase estimation	order finding and factoring	general applications
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Eg. fact	toring 15		

- 1. Choose a random number x = 7.
- 2. Compute the order r satisfying $x^r = 1 \mod N$
 - 2.1 begin with the state $|0_t\rangle|0_4\rangle$
 - 2.2 apply H gates to the first register containing t=11 qubits (ensuring $\epsilon \leq 1/4)$

$$\frac{1}{\sqrt{2^t}}\sum_{k=0}^{2^t-1}|k\rangle|0\rangle = \frac{1}{\sqrt{2^t}}\left[|0\rangle + |1\rangle + |2\rangle + \dots + |2^t - 1\rangle\right]|0\rangle$$



QFT	phase estimation	order finding and factoring 00000000	general applications
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Eg. fact	oring 15		

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 - 2.2 apply H gates to the first register containing t = 11 qubits (ensuring $\epsilon \leq 1/4$)

$$\frac{1}{\sqrt{2^t}} \sum_{k=0}^{2^t - 1} |k\rangle |0\rangle = \frac{1}{\sqrt{2^t}} \left[|0\rangle + |1\rangle + |2\rangle + \dots + |2^t - 1\rangle \right] |0\rangle$$

2.3 compute $f(k) = x^k \mod N$

$$\frac{1}{\sqrt{2^t}} \sum_{k=0}^{2^t-1} |k\rangle |x^k \mod N\rangle$$

= $\frac{1}{\sqrt{2^t}} \left[|0\rangle |1\rangle + |1\rangle |7\rangle + |2\rangle |4\rangle + |3\rangle |13\rangle + |4\rangle |1\rangle + |5\rangle |7\rangle + |6\rangle |4\rangle + \cdots \right]$



)FT	phase estimation	order finding and factoring	general applications
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Za fa	toning 15		

- Eg. factoring 15
 - 1. Choose a random number x = 7.
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 - 2.1 begin with the state $|0_t\rangle|0_4\rangle$
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2.3 compute
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2.4 apply the inverse QFT to the first register and measure it measure the second register, obtaining a random result from 1, 7, 4 or 13.

QFT	phase estimation	order finding and factoring	general applications
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QFT 000000

phase estimation 0000000 order finding and factoring 00000000

general applications

2.5 suppose the result is 4 (r2), that means the state (r1) input to FT^{\dagger} would have been $\sqrt{\frac{4}{2^{t}}} \Big[|2\rangle + |6\rangle + |10\rangle + |14\rangle + \cdots \Big]$



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- 2.6 after applying FT^{\dagger} , we obtain some state $\sum_{l} \alpha_{l} |l\rangle$, with the probability distribution below



final measurement gives either 0, 512, 1024, or 1536, and each with probability almost exactly 1/4.



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3. suppose we obtain l = 1536, computing the continued fraction expansion gives 1536/2048 = 1/(1 + (1/3)), so that 3/4 occurs as a convergent in the expansion.



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- 2.6 after applying FT^{\dagger} , we obtain some state $\sum_{l} \alpha_{l} |l\rangle$, with the probability distribution below



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- 3. suppose we obtain l = 1536, computing the continued fraction expansion gives 1536/2048 = 1/(1 + (1/3)), so that 3/4 occurs as a convergent in the expansion.
- 4. r is even, and $x^{r/2} \mod N = 4 \neq -1 \mod 15$, so $gcd(x^2 1, 15) = 3$ and $gcd(x^2 + 1, 15) = 5$ are both non-trivial factors.

order finding and factoring 00000000

general applications $\bullet 0$

general applications of the QFT

- period finding
- discrete logarithms
- hidden subgroup problem

The readers interested in understanding all the details will have to work much harder, because the presentation in this section is rather more schematic and conceptual than earlier sections.



QFT	
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Summary

1 QFT

- quantum Fourier transform
- product representation
- efficient circuit
- complexity
- 2 phase estimation
 - phase estimation
 - \bullet three stages
 - intuition
 - performance and requirements
 - procedure
- **3** order finding and factoring
 - order finding
 - \bullet factoring



